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2177
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Attorney Docket No. 42390.P13765

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

David Harriman

Application No. 10/041,028

Filed: December 28, 2001

For: **COMMUNICATING TRANSACTION
TYPES BETWEEN AGENTS IN A
COMPUTER SYSTEM USING PACKET
HEADERS INCLUDING AN EXTENDED
TYPE/EXTENDED LENGTH FIELD**

Examiner: Cheryl Renea Lewis

Art Unit: 2177

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JUN 15 2004

Technology Center 2100

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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Enclosed is a copy of Information Disclosure Citation Form PTO-1449 together with copies of the document(s) cited on that form. It is respectfully requested that the cited document(s) be considered, and that the enclosed copy of Information Disclosure Citation Form PTO-1449 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant.

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By: Anne Collette
Anne Collette

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This IDS is being submitted on the same date as an Electronic IDS, a copy of which is attached hereto.

Pursuant to 37 C.F.R. § 1.97, this IDS is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

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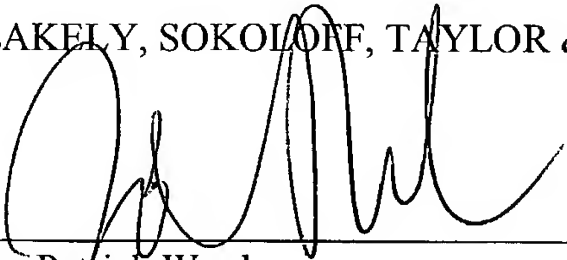
If there are any additional charges, please charge Deposit Account No. 02-2666.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: _____

6/2/04



John Patrick Ward
Reg. No. 40,216

12400 Wilshire Blvd.
Seventh Floor
Los Angeles, CA 90025-1030
(408) 720-8300

Substitute for Form 1449A/PTO (Modified)

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Complete if Known

| | |
|------------------------|--------------------|
| Application Number | 10/041,028 |
| Filing Date | December 28, 2001 |
| First Named Inventor: | David Harriman |
| Group Art Unit | 2177 |
| Examiner Name | Cheryl Renea Lewis |
| Attorney Docket Number | 42390.P13765 |

Sheet 1 of

U.S. PATENT DOCUMENTS

| Exam. Initial* | Cite No. ¹ | U.S. Patent Document | | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-DD-YYYY | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
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OTHER ART - NON PATENT LITERATURE DOCUMENTS

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| | | GREENWALD, M., et al., "Designing an Academic Firewall: Policy, Practice and Experience with SURF", Proceedings of the IEEE Symposium on Network and Distributed System Security (SNDSS '96), San Diego, CA, pp. 79-92 (February 22-23, 1996). | |
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| | | IBM Corporation, "Deadlock-Free Message Passing Protocol in CSP-Based Languages", IBM Technical Disclosure Bulletin, Vol. 37, No. 7, pp. 192-195 (December 1989). | |
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Examiner
Signature

Date Considered

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¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard S.3). ⁴For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

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Electronic Version v18

Stylesheet Version v18.0

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|-------------------------------|---|
| Title of Invention | Communicating transaction types between agents in a computer system using packet headers including an extended type/extended length field |
|-------------------------------|---|

Application Number: 10/041028

10/041028

Confirmation Number: 2623

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First Named Applicant: David Harriman

JUN 15 2004

Attorney Docket Number: 42P13765

Art Unit: 2177

Examiner: Cheryl Renea Lewis

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Search string: (4621364 or 5033047 or 5390298 or 5414717 or 5699519 or
5867652 or 5909427 or 5968197 or 6084869 or 6359877 or
6389016 or 6424625 or 6515967 or 6519731 or 20030031208
or 20030039209).pn.

US Patent Documents

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

| init | Cite.No. | Patent No. | Date | Patentee | Kind | Class | Subclass |
|------|----------|------------|------------|------------------|------|-------|----------|
| | 1 | 4621364 | 1986-11-04 | Tschoepe | | | |
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| | 3 | 5390298 | 1995-02-14 | Kuszmaul et al. | | | |
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Note: Applicant is not required to submit a paper copy of cited US Published Applications

| init | Cite.No. | Pub. No. | Date | Applicant | Kind | Class | Subclass |
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| | 1 | 20030031208 | 2003-02-13 | Anehem et al. | | | |
| | 2 | 20030039209 | 2003-02-27 | Webber | | | |

Signature

| Examiner Name | Date |
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Electronic Version 1.1

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**Title of
Invention**

Communicating transaction types between agents in a computer system using packet headers including an extended type/extended length field

Submission Type: Information Disclosure Statement

Application Number: 10/041028

10/041028

EFS ID: 62021

Server Response:

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|-------------------|---|
| ISVR1 | Submission was successfully submitted - Even if Informational or Warning Messages appear below, please do not resubmit this application |
| ICON1 | 2623 |
| ISYS5 | Filename= N/A BusinessRule= Validation System/Function Call Information. #Supporting Msg:Server unable to validate the Confirmaton/Application numbers at this time. They will be checked by PTO personnel later. |

First Named Applicant: David Harriman

Attorney Docket Number: 42P13765

Timestamp: 2004-06-03 18:22:38 EDT

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File Listing:

| Doc. Name | File Name | Size (Bytes) |
|------------------|---------------------|--------------|
| us-ids | 42P13765-usidst.xml | 3393 |
| us-ids | us-ids.dtd | 7763 |
| us-ids | us-ids.xsl | 12026 |
| package-data | 42P13765-pkda.xml | 1802 |
| package-data | package-data.dtd | 27025 |
| package-data | us-package-data.xsl | 19263 |
| Total files size | | 71272 |

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